TEK 10-02D

CONTROL JOINTS FOR CONCRETE MASONRY WALLS-EMPIRICAL METHOD

INTRODUCTION

Concrete masonry is a popular construction material because its inherent attributes satisfy the diverse needs of both exterior and interior walls. While these attributes are the primary basis for concrete masonry's popularity, performance should not be taken for granted. Like all construction systems, design decisions significantly influence field performance of the concrete masonry wall system. Proper application of crack control measures, including control joints when required, can help ensure satisfactory performance of the concrete masonry.

Note that crack control considerations for concrete masonry veneers differ from the guidance presented below. The reader is referred to **TEK 10-4**, Crack Control for Concrete Brick and Other Concrete Masonry Veneers (ref. 3), for more detailed information.

Movement joints such as control joints are one method used to relieve horizontal tensile stresses due to shrinkage of the concrete masonry units, mortar, and when used, grout. They are essentially vertical planes of weakness built into the wall to reduce restraint and permit longitudinal movement due to anticipated shrinkage, and are located where stress concentrations may occur. A bond break is accomplished by replacing all or part of a vertical mortar joint with a minimum of a backer rod and sealant. This keeps the joint weather tight while accommodating small movements. Joint reinforcement and other horizontal reinforcement should be discontinued at control joints unless it is required for structural purposes, as it will act to restrain horizontal movement.

When control joints are required, concrete masonry only requires vertical control joints. When materials with different movement properties, such as concrete masonry and clay masonry, are used in the same wythe the movement difference needs to be accounted for in the design. Normally, joint reinforcement is used in the common joint between the two to distribute the forces and keep any cracks that form tightly closed. Another option is to provide a horizontal slip plane between the two materials to accommodate the differential movement. See Clay and Concrete Masonry Banding Details, **TEK 5-2A** (ref. 1), for more detailed information.



Control joints are typically required in exposed above grade concrete masonry walls, where net aesthetic shrinkage cracking may detract from the appearance of the wall, and to limit moisture or air infiltration. Shrinkage cracks in concrete masonry are not a structural concern. In addition, walls with adequate horizontal reinforcement may not require control joints, as the reinforcement effectively reduces the width of shrinkage cracks. See **TEK 10-3**, Control Joints for Concrete Masonry Walls—Alternative Engineered Method (ref. 2), for more information.

Foundation walls traditionally do not include control joints due to concerns with waterproofing the joint to withstand hydrostatic pressure. Additionally, since foundation walls are subjected to relatively constant temperature and moisture conditions, shrinkage cracking in below grade walls tends to be less significant than in above grade walls.

This TEK focuses on non-structural cracking resulting from internal volume change of the concrete masonry. Potential cracking resulting from externally applied design loads due to wind, soil pressure, seismic forces, or differential settlement of foundations is controlled by structural design considerations not addressed here. Where external loads are an issue in combination with internal volume change, the design should consider the combined effects of these influences on cracking.

CONTROL JOINT PLACEMENT

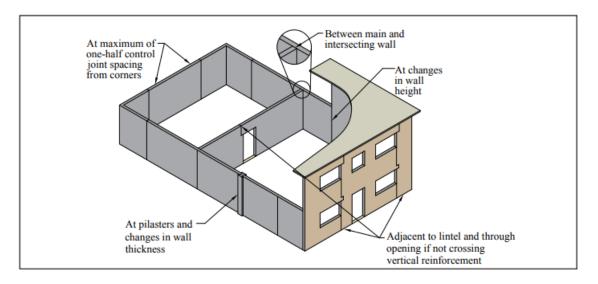
When required, control joints should be located where volume changes in the masonry due to drying shrinkage, carbonation, or temperature changes are likely to create tension in the masonry that will exceed its tensile capacity. In practice, this can be difficult to determine since some movements are reversible, quick or gradual, but several methods are presented in the following sections to provide guidance in locating control joints.

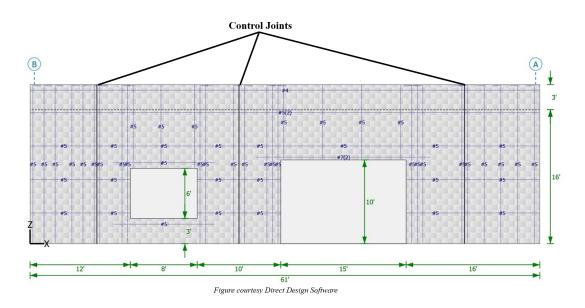
In addition, care should be taken to provide joints at locations of stress concentrations such as (see Figure 1a for unreinforced masonry and Figure 1b for reinforced masonry):

- 1. at changes in wall height,
- 2. at changes in wall thickness, such as at pipe and duct chases and pilasters,
- 3. at (above) movement joints in foundations and floors,
- 4. at (above and below) movement joints in roofs and floors that bear on a wall,
- 5. near one or both sides of door and window openings, (see following subsection, Control Joints at Openings), and
- 6. adjacent to corners of walls or intersections within a distance equal to half the control joint spacing.



Consideration must also be given to the effect of control joint placement on load distribution within the wall. For example, locating control joints at the ends of lintels may compromise arching action. Therefore, it may be prudent to design the lintel to carry the full weight of the wall above it in addition to any superimposed loads.





■ Figure 1b-Typical Control Joint Locations for Reinforced Masonry

Control Joints at Openings

Because cracking occurs in the planes of greatest weakness, openings are particularly vulnerable. For an opening of up to 6 ft (1.83 m) in width that are not wrapped with



reinforcement, a control joint should be placed at one side of the opening as shown in Figure 2a. Notice that the joint goes around the lintel and allowance for movement (a slip plane in the form of flashing or other bond breaker) between the lintel and the masonry must be provided. Because the lintel is not laterally supported at the bottom due to the slip plane, control joints capable of providing load transfer between panels are required, such as the joints shown in Figures 3a, 3d, 3e, 3f, 3h and 3i.

In Figure 2a, continuous vertical reinforcement cannot be provided in the cell adjacent to the opening on the left, as crossing the horizontal portion of the control joint (i.e., the slip plane) would effectively pin the two sections together, restraining relative movement. To resist the lateral movement around the slip plane, 24-in. (610-mm) long horizontal joint reinforcement may be placed at the lintel bearing location and two courses below. If utilizing concrete masonry veneered steel beams over openings in lieu of concrete masonry or precast lintels, it is critical that the steel beam not be welded to the bearing plate(s) where designated control joints are to be constructed, as this will pin the two sections together, restraining movement.

When a slip plane under the bond beam is used for openings larger than 6 ft (1.83 m), control joints are recommended on both sides of the opening as shown in Figure 2b. Again, the control joint goes under and up the side of the lintel, and allowance for movement between the lintel and the masonry must be provided. Because there is no lateral support at the bottom of the lintel, provision must also be made for load transfer between the panels.

An alternative to avoid having the vertical reinforcement cross the slip plane is to place the reinforcement in the next cell over. Another alternative is to place the control joint away from the opening if adequate tensile reinforcement is placed above, below and beside the opening as discussed below.

In walls containing vertical reinforcement, the cell adjacent to the opening is usually grouted and reinforced to provide solid support and additional strength for jambs. Using the same type of detail as for the unreinforced wall would require the control joint to cross the vertical reinforcement, thereby preventing movement and defeating the purpose of the control joint. However, if the opening is completely surrounded by reinforcement as shown in Figure 2c and 2d, the area around the opening is strengthened and control joints can be placed away from the opening.

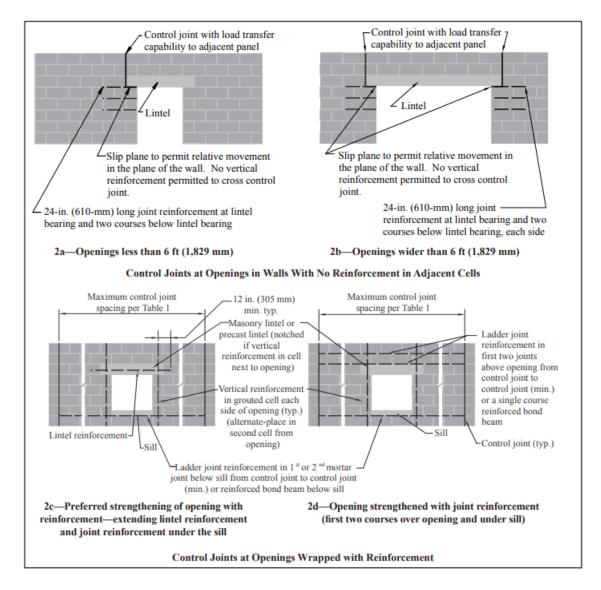
As an alternative to extending the lintel reinforcement a minimum of 12 in. (305 mm) past the vertical reinforcement adjacent to the opening (Figure 2c), joint reinforcement may be placed in the first two mortar joints above the opening and extended to the control joint on each side, or a horizontal bond beam could be used, as shown in Figure 2d.

For best performance, the vertical reinforcement should be placed in the cell immediately adjacent to the opening. However, due to congestion in the cell at this location, vertical reinforcement is sometimes placed in the second cell from the opening. In this case, the cell next to the opening should be grouted, as should the cell containing the reinforcement, to provide additional resistance for attaching the door or window frames. These details may



also be used in unreinforced walls and walls utilizing steel lintels, since the area surrounding the opening is strengthened by the additional reinforcement.

Shear transfer devices such as preformed gaskets or shear keys (such as those shown in Figures 3a, 3d, 3e, 3f, 3h and 3i) may not be necessary when using openings wrapped with reinforcement in wall segments designed to resist the lateral loads applied directly to them plus those transferred from the opening enclosure. However, some designers incorporate shear transfer devices to limit the relative movement between the two panels on either side of a control joint, thereby reducing the stress on the joint sealant and providing longer life.



EMPIRICAL CRACK CONTROL CRITERIA

At other points of wall stress concentration, control joints are used to effectively divide a wall into a series of isolated panels. Table 1 lists recommended maximum spacing of these



control joints based on empirical criteria. This criteria has been developed based on successful historical performance over many years in various geographical conditions. The empirical method is the most commonly used method of locating control joints and is applicable to most building types.

An engineered method is presented in **TEK 10-3** Control Joints for Concrete Masonry Walls—Alternative Engineered Method, which is based on limiting crack width to 0.02 in. (0.51 mm), since water repellent coatings can effectively resist water penetration for cracks of this size. The engineered method is generally used only when unusual conditions are encountered such as dark-colored units in climates with large temperature changes.

The provisions in this TEK assume that units used in the construction comply with the minimum requirements of ASTM C90, Standard Specification for Loadbearing Concrete Masonry Units (ref. 4) and that a minimum amount of horizontal reinforcement is provided between control joints as indicated in Footnotes 2 and 3 of Table 1. For units with a nominal height of 8 inches (203 mm), the minimum area of reinforcement given, 0.025 in.²/ft (52.9 mm²/m) of height, translates to horizontal reinforcement spaced as indicated in Table 2A. It is intended to provide the most straightforward guidelines for those cases where detailed volume change properties of the concrete masonry are not known at the time of design. As indicated in Table 1 Footnote 1, local experience may justify an adjustment to the control joint spacings presented in the table.

Similar to concrete masonry veneers, half high concrete masonry unit assemblies are installed with a larger percentage of mortar, which in turn has a larger potential for system shrinkage and therefore cracking potential. As such, the prescriptive crack control recommendations detailed in Table 1 increase the area of horizontal reinforcement and decrease the maximum control joint spacing of half high unit assemblies compared to full height unit assemblies. See Table 2B for horizontal reinforcement spacing translating to 0.034 in.²/ft (72.0 mm²/m) of height.

To illustrate these criteria, consider a 20 ft (6.10 m) tall warehouse with walls 100 ft (30.48 m) long using 8 inch (203 mm) nominal height CMU. Table 1 indicates a maximum control joint spacing of the lesser of:

- a length to height ratio of $1\frac{1}{2}$: 1, which corresponds to $1\frac{1}{2}$ x (20 ft) = 30 ft (9.14 m), or
- control joints spaced every 25 ft (7.62 m).

In this example, the maximum spacing of 25 ft (7.62 m) governs over the length to height ratio.

For walls containing masonry parapets, consider the parapet as part of the masonry wall below when determining the length to height ratio if it is structurally connected by masonry materials.



Maximum Length-to- Height Ratio of Concrete Masonry Panel	Maximum spacing, in. (mm)	
Above Grade Concrete Masonry Walls		
1.5 to 1	25 ft. (7.62 m)	
1.5 to 1	20 ft. (6.10 m)	
	Height Ratio of Concrete Masonry Panel e Concrete Masonry Walls 1.5 to 1	

¹Adjust spacing as needed where local experience or project conditions warrant.

■ Table 1 - Empirical Control Joint Spacing for Concrete Masonry Walls

Reinforcement size	Maximum spacing, in. (mm)
W1.7 (9 gage) (MW11)1	16 (406)
W2.1 (8 gage) (MW13)1	16 (406)
W2.8 (3/16 in.) (MW18) ¹	24 (610)
No. 3 (M#10)	48 (129)
No. 4 (M#13)	96 (2,348)
No. 5 (M#16) or larger	144 (3,658)
¹ Minimum two wires per course.	

Table 2A-Maximum Spacing of Horizontal Reinforcement to Provide 0.025 Square Inches per Foot of Masonry Height (52.9 Square Millimeters per Meter)



²Include horizontal reinforcement having an equilavent area of not less than 0.025 in.²/ft. (52.9 mm²/m) of height. See Table 2A.

 $^{^3}$ Include horizontal reinforcement having an equivalent area of not less than 0.034 in. 2 /ft. (72.0 mm 2 /m) of height. See Table 2B.

Reinforcement size	Maximum spacing, in. (mm)
W1.7 (9 gage) (MW11)1	12 (305)
W2.1 (8 gage) (MW13)1	12 (305)
W2.8 (3/16 in.) (MW18) ¹	16 (406)
No. 3 (M#10)	40 (1,016)
No. 4 (M#13)	68 (1,727)
No. 5 (M#16)	108 (2,743)
No. 6 (M#19) or larger	144 (3,658)
¹ Minimum two wires per course.	

Table 2B-Maximum Spacing of Horizontal Reinforcement to Provide 0.034 Square Inches per Foot of Masonry Height (72.0 Square Millimeters per Meter)

CONSTRUCTION

Common control joint details are illustrated in Figure 3. The joints permit free longitudinal movement, and some also allow the transfer of lateral or out-of-plane shear loads. Although the details in Figure 3 show vertical reinforcement on each side of the control joint, walls that do not otherwise require vertical reinforcement will not require reinforcement at the control joints.

Out-of-plane shear loads can be transferred by providing a shear key, as shown in Figures 3a, 3d, 3e, 3f, 3h and 3i. Figures 3f and 3i show smooth dowel bars placed across the control joint to transfer shear. The dowels are typically greased or placed in a plastic sleeve to prevent bond and allow unrestrained longitudinal movement. Figure 3h is a variation on this approach, where one horizontal bond beam reinforcing bar extends across the control joint, and is similarly debonded to allow longitudinal movement.

Control joints can also be constructed using sash units, which accommodate the shear key of a preformed control joint gasket, as shown in Figure 3a. The gaskets are generally available in either PVC, complying with ASTM D2287, Standard Specification for Nonrigid Vinyl Chloride Polymer and Copolymer Molding and Extrusion Compounds (ref. 7), or rubber compounds complying with ASTM D2000, Standard Classification System for Rubber Products in Automotive Applications (ref. 8). When used as a shear key to transfer out-of-plane loads between two panels separated by a control joint, the gasket material



should be tested to determine its strength and applicability in this application. This information is generally available from the manufacturers of preformed gaskets.

The preformed gasket provides a fire resistance rating of at least two hours. Where the keying action is provided by concrete materials, a four-hour fire rating is provided. When an unkeyed control joint is used, a simple and cost-effective means of constructing a fire-rated control joint is by using ceramic fiber felt, as shown in Figure 3b. Because no mechanical interlock is provided between the two panels separated by this joint, out-of-plane loads are not transferred across this joint. See **TEK 7-1C**, Fire Resistance Rating of Concrete Masonry Assemblies (ref. 5), for more information on fire resistance ratings of concrete masonry assemblies.

When the transfer of out-of-plane loads between two panels separated by a control joint is not critical, or when fire resistance is not a controlling design feature, the control joint shown in Figure 3c can be used.

When design necessitates the construction of a control joint that provides a rated fire resistance and out-of-plane load transfer, the control joints shown in Figures 3d and 3e can be used. Figure 3d shows a grouted shear key. For this joint, the out-of-plane load transfer mechanism is provided by filling the adjacent ends of two stretcher units with grout or mortar. To allow longitudinal movement, building paper or other material is installed to break the bond between the grout/mortar and one of the masonry units.

Control joints constructed with special unit shapes, as shown in Figure 3e, can also be used to provide a fire-rated control joint and out-of-plane load transfer. Before specifying this joint construction, however, the availability of these unit shapes should be verified with local concrete masonry manufacturers. Care should be taken when constructing this type of control joint to ensure that excessive mortar is not placed in the head joint of the two control joint units, which can potentially lead to bonding of the two panels.

As previously mentioned, another method of providing out-of-plane load transfer between the panels on either side of a control joint is to place a smooth dowel across the control joint as shown in Figures 3f and 3i.

Reminder: it is very important that nonstructural reinforcement, such as horizontal joint reinforcement which is typically used for crack control only, should not be continuous through a control joint, since this will restrict horizontal movement. However, structural reinforcement, such as bond beam reinforcement at floor and roof levels that resists diaphragm cord tension, must be continuous through the control joint (see Figure 3g).

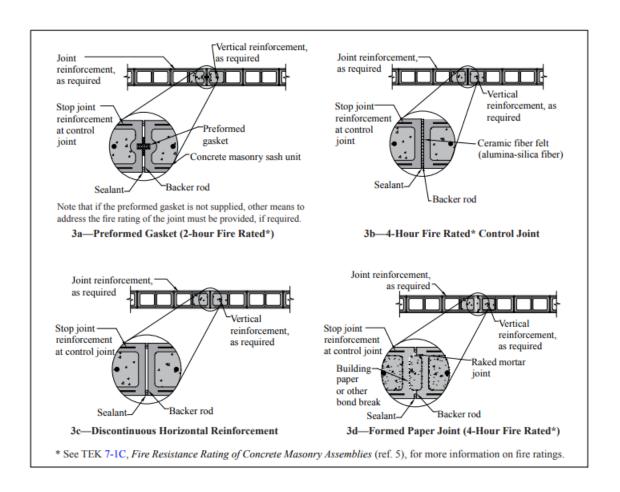
Figure 4 shows details of the surface of a typical concrete masonry control joint. To provide a joint that is sealed against the passage of air, water and sound, caulking or other appropriate sealant is used. The backer rod provides a uniform foundation for the sealant. Although the detail shown in Figure 4a is considered the typical construction, research suggests that the joint profile shown in Figure 4b may offer improved performance because the flat profile reduces peeling stresses at the corners of the sealant. The depth of sealant



should be approximately ½ the joint width to reduce sealant strain, and hence extend sealant life. See **TEK 19-6A**, Joint Sealants for Concrete Masonry Walls (ref. 6) for more detailed information.

Where concrete masonry is used as a backup for veneer or in multi-wythe applications, consider the following:

- 1. control joints should extend through the facing when wythes are rigidly bonded, such as plaster applied directly to masonry units or for adhered veneer,
- 2. control joints need not extend through the facing when the bond between the two materials is flexible, such as anchored veneer with flexible ties. However, depending on the type of facing, considerations should be given to crack control in the facing material as well.



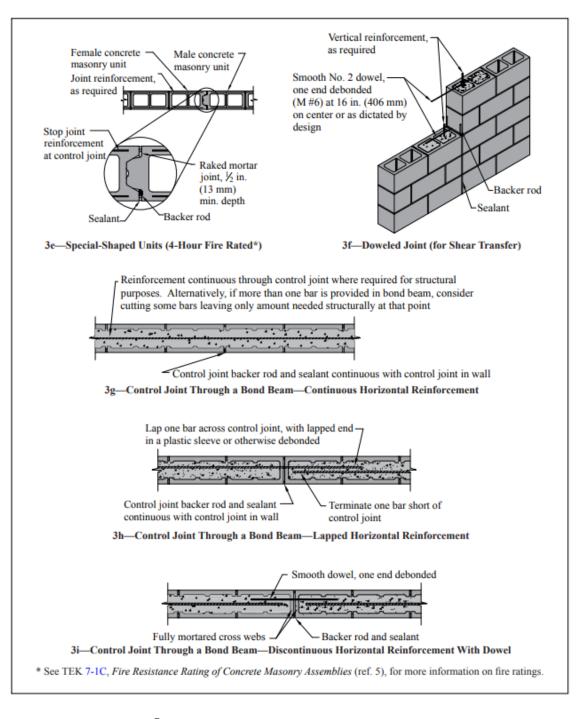
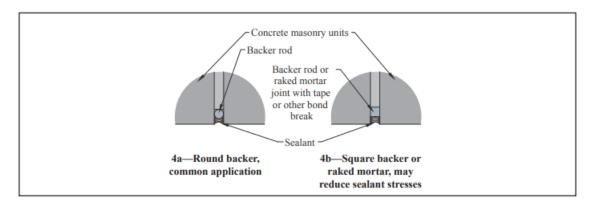


Figure 3-Typical Control Joint Details



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Keywords

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